

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Junichi Andoh

ATTY DOCKET NO.: ASM-P-98-023

SERIAL NO.: 09/162,969

GROUP ART UNIT: 2873

FILING DATE: Sep. 29, 1999

EXAMINER: Unassigned

TITLE: "OPTICAL DISC DRIVE AND METHOD OF EXAMINING THE OPTICAL DISC DRIVE"

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

ASST. COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

S I R:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted.

- ☒ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 CFR §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 CFR §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
- ☐ Payment for the fee set forth in 37 CFR §1.17(p) accompanies this submission; or
- ☒ The certification specified in 37 CFR §1.97(e) is made below. (No fee is required.)
- ☐ After the mailing of (1) a final action under 37 CFR §1.113; or (2) a notice of allowance under 37 CFR §1.311 whichever occurs first, but before payment of the issue. The certification specified in 37 CFR §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 CFR §1.17(i)(1) accompanies this submission.
- ☒ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of the statement.
- ☐ A check in the amount of \$ \_\_\_ to cover any required fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No.50-0595. *A duplicate copy of this sheet is enclosed for this purpose.*

When phoning re this application,  
please call (773) 772-6009

By  (Reg. No. 35,018)

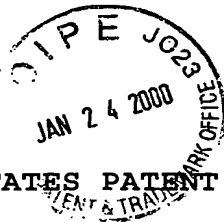
BRIAN M. MATTSON  
Patents+TMS  
1914 North Milwaukee Avenue  
Chicago, Illinois 60647  
Telephone: (773) 772-6009

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Asst. Commissioner for Patents, Washington, D.C. on January 20, 2000.

  
BRIAN M. MATTSON

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

APPLICANT: Junichi Andoh ATTY. DOCKET NO.: ASM-P-98-023  
SERIAL NO.: 09/162,969 GROUP ART UNIT: 2873  
FILING DATE: Sep. 29, 1999 EXAMINER: Unassigned  
INVENTION: "OPTICAL DISC DRIVE AND METHOD OF EXAMINING THE  
OPTICAL DISC DRIVE"

Asst. Commissioner for Patents  
Washington, D.C. 20231

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SIR:

In accordance with the provisions of 37 CFR §1.56, Applicant requests that citation and examination of the reference identified on the attached PTO-1449 form, a copy of which is enclosed herewith, be made during the course of examination of the above-mentioned application for U.S. patent. No fee is due for submission of this statement. If, however, any fees are due and owing in view of this communication, please charge Deposit Account No. 50-0595. *A duplicate of this sheet is included for this purpose.*

I. SUBMITTED REFERENCES

A. U.S. PATENTS

<u>PATENT NO.</u>	<u>PATENTEE</u>	<u>ISSUE DATE</u>
5,553,042	Usui	Sep. 3, 1996

II. REMARKS

A copy of the above reference together with form PTO-1449 is submitted herewith.

In view of the foregoing, Applicant submits all claims of the application are patentably distinguishable over the teaching of the above reference. Early consideration of the application is, therefore, requested.



Respectfully submitted,

A large, stylized handwritten signature of Brian M. Mattson, written in dark ink.

(Reg. No. 35,018)

Brian M. Mattson

Patents+TMS

A Professional Corporation

1914 North Milwaukee Avenue

Chicago, IL 60647

(773) 772-6009

ATTORNEY FOR APPLICANT

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JAN 27 2000

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**CERTIFICATE OF MAILING**

I hereby certify that this **INFORMATION DISCLOSURE STATEMENT, PTO-1449 AND REFERENCE** are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: The Asst. Commissioner for Patents, U.S. Patent Office, Washington, D.C. 20231 on **January 20, 2000.**

A large, stylized handwritten signature of Brian M. Mattson, written in dark ink.

Brian M. Mattson (Reg. No. 35,018)

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